



SECURITIES TRADING REGULATIONS

Ho Chi Minh City Stocks Exchange (HoSE)

Trading hours: From Monday to Friday, except Holidays.

9:00 – 9:15 am	Receiving order for order matching session to get the opening price
9:15 – 11:30 am	Receiving order for continuous order matching session
11:30 am – 13: 00 pm	Break time
13:00 – 13: 45 pm	Receiving order for continuous order matching session
13:45 – 14:00 pm	Receiving order for order matching session to get the closing price
9:00 am – 14:15 pm	Put-through deals (except the break time)
14:15 pm	Market closes

Note: Bonds are traded only on the negotiation basis

Price range:

±7% against the closing price of the previous trading day

Note: Price range is not applicable for bonds

Price unit (VND):

Price level	Stocks, IFCs
≤ 49,900	100
50,000 – 99,500	500
≥100,000	1,000

Note: Price unit is not applied for put-through deal

Trading unit:

Stocks, IFCs

Order matching 10 or multiples



THIEN VIET SECURITIES JOINT STOCK COMPANY

Ho Chi Minh City Branch

63 Vo Van Tan st, Dist. 3, Ho Chi Minh City, Viet Nam

Điện thoại: 84.8.6299 2099 – Fax: 84.8.6299 2088

Website: www.tvs.vn

Types of orders:

Limit Order (LO) is an order when an investor specifies an acceptable price for buying or selling a stated numbers of securities. LO can be executed at the pre-specified price or better price. LO is valid through out the trading day.

At the Opening Order (ATO) is an order when an investor agrees to buy or sell securities at the market price. No price specification is required. ATO is only valid within one trading session.

At the Closing Order (ATC) is an order when an investor agrees to buy or sell securities at the market price. No price specification is required. ATC is only valid within one trading session.

Order Matching Principles:

Price priority: Priority for a purchase order at higher price or a sale order at lower price.

Time priority: For orders which have the same price, priority is for those entered into the system earlier.

Matching price: is the price at which the trading volume maximizes. In case many prices meet this condition, the price which is closest to the closing price of the nearest trading session will be selected. If there are still 2 prices which meet these two criterias, priority is for the highest price.

Settlement period: T+3 for stocks and IFCs.
T+1 for bonds.



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Ha Noi Securities Trading Center (HaSTC)

Trading time: From 9:00 am to 14:15 pm, Monday to Friday, except Holidays.

Price range: $\pm 10\%$ against the closing price of previous trading day

Price increment: VND100 for stocks and bonds

Trading unit: 100 or multiples

Types of orders:

Limit Order (LO) is an order when an investor specifies an acceptable price for buying or selling a stated numbers of securities. LO can be executed at the pre-specified price or better price. LO is valid through out the trading day.

Type of deals: Put-through and continuous order matching

Settlement period:

$\geq 100,000$ shares/bonds	T+1 or T+3
$\leq 100,000$ shares/bonds	T+3